

Guarantee Amendment - Islamic User Guide
**Oracle Banking Trade Finance Process
Management**

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Oracle Banking Trade Finance Process Management - Guarantee Amendment - Islamic User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction.

Overview

OBTFPM is a Trade Finance Middle Office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of Trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Guarantee Issuance Amendment - Islamic

Guarantee Amendment enables the user to amend an already issued guarantee to the Beneficiary or to the Bank designated to Advise the Guarantee Amendment to the Beneficiary or to another Advising Bank.

Conventional Guarantee Amendment process enables the user to make an amendment to the Guarantee which had been already issued.

The common amendments that are made to Guarantees are:

- Expiry date
- Increase/Decrease in Guarantee amount
- Change in Terms and Conditions
- The amendments may need consent from the beneficiary of the amendment. In such scenarios, after the processing of amendment, the amended Guarantee is parked awaiting beneficiary consent.

The various stages involved in Amendment of Guarantee Issued are:

- Receive and verify documents (Non Online Channel)- Registration stage
- Input amendment application details
- Upload of related mandatory and non-mandatory documents
- Input/Modify details of amendment of Guarantee - Data Enrichment stage
- Check for limit availability
- Check balance availability for amount block
- Check for sanctions & KYC status
- Earmark limits/Create amount block for cash margin/charges
- Capture remarks for other users to check and act
- Generate acknowledgements and draft Amendment copies
- Notify customer on any negative statuses in any of the stages to the applicant
- Hand off request to back office

The design, development and functionality of the Islamic Guarantee Issuance Amendment process flow is similar to that of conventional Guarantee Issuance Amendment process flow.

This section contains the following topics:

Registration	Data Enrichment
Customer Response - Draft Confirmation	Amount Block Exception Approval
Multi Level Authorization	

Registration

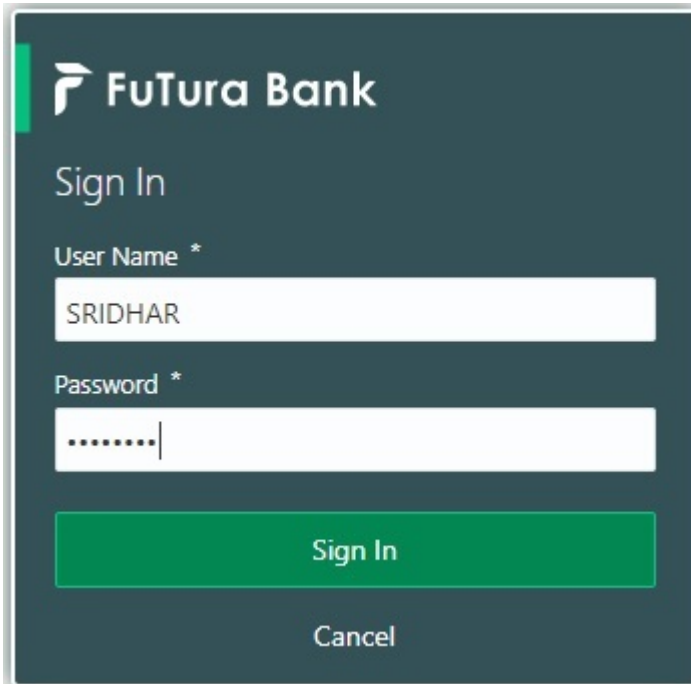
During registration stage, user can register request for an Islamic Guarantee amendment received at the front desk (as an application received physically/received by mail/fax). During registration, user captures the basic details of the application, check the signature of the applicant and upload related documents. On submit of the amendment request, the customer should be notified with acknowledgment and the request should be available for an Guarantee expert to handle in the next stage.

The OBTFPM user can process MT798 with sub messages MT726-MT759 message received through SWIFT. The OBTFPM verifies the field 21 and 26E (of the MT759 and identifies the Original Contract

Reference Number and Amendment Number and invokes the process. The user can cancel the previously received MT798 referenced message which is under process.

The OBTFPM user can process incoming MT798(up to a maximum of 8 messages) with sub messages MT788-MT799 message received through SWIFT and enables the user to cancel the previously received MT798 referenced message which is under process.

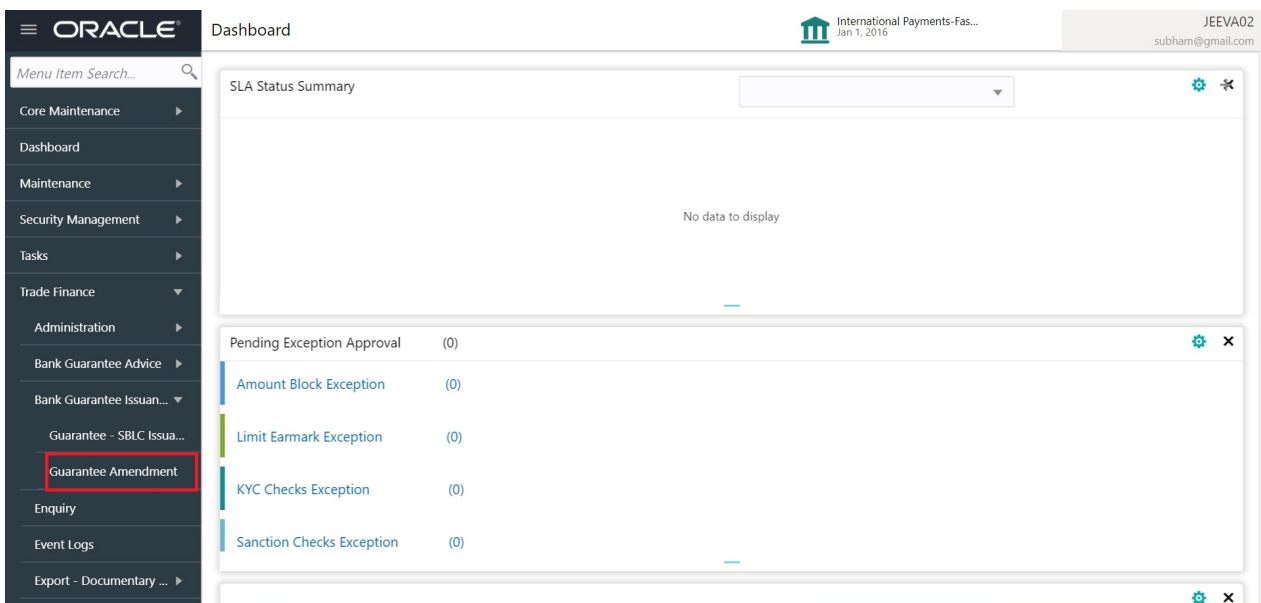
1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



The image shows a login screen for FuTura Bank. It features a dark blue header with the bank's logo and name. Below the header, the text "Sign In" is displayed. There are two input fields: "User Name *" with the value "SRIDHAR" and "Password *" with masked characters. A green "Sign In" button is positioned below the password field, and a "Cancel" button is located at the bottom center.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

3. Click **Trade Finance - Islamic > Bank Guarantee Issuance > Guarantee Issuance Amendment - Islamic.**




The screenshot displays the Oracle dashboard interface. The top navigation bar includes the Oracle logo, a search bar, and user information: "International Payments-Fas...", "Jan 1, 2016", and "JEEVA02 subham@gmail.com". The left sidebar contains a menu with categories like Core Maintenance, Dashboard, Maintenance, Security Management, Tasks, Trade Finance, Administration, Bank Guarantee Advice, Bank Guarantee Issuan..., Enquiry, Event Logs, and Export - Documentary ... The "Guarantee Amendment" item under "Bank Guarantee Issuan..." is highlighted with a red box. The main content area shows a "SLA Status Summary" widget with "No data to display" and a table of "Pending Exception Approval" with the following data:

Exception Type	Count
Amount Block Exception	(0)
Limit Earmark Exception	(0)
KYC Checks Exception	(0)
Sanction Checks Exception	(0)

The Registration stage has two sections Application Details and SBLC/ Guarantee Details. Let's look at the details of Registration screens below:




Provide the Application Details based on the description in the following table. In case of MT798, Application Details are defaulted to SWIFT.

Field	Description	Sample Values
Application Details		
SBLC/Guarantee Number	The user identification of your external bank account. Provide the undertaking number. Alternatively, user can search the documentary undertaking number using LOV.	
Received From Applicant Bank	Read only field. System will default the name of the applicant bank as available in Guarantee.	Toggle off
Received From - Customer ID	Read only field. Customer ID will be auto-populated from Guarantee /SBLC Issuance.	001345
Received From - Customer Name	Read only field. Applicant Name will be auto-populated from Guarantee /SBLC Issuance.	

Field	Description	Sample Values
Branch	<p>Read only field.</p> <p>Branch Name will be auto-populated from Guarantee /SBLC Issuance.</p>  <p>Note Once the request is submitted, Branch field is non-editable.</p>	
Priority	<p>System will default the Priority as Low/Medium/High based on maintenance.</p> <p>If no priority is maintained, system defaults the priority as Medium.</p> <p>User can change the priority populated any time before submit of Registration stage.</p>	High
Amendment Number	<p>Read only field.</p> <p>Amendment number will be auto-populated based on the system maintenance.</p> <p>Amendment number increases by 1 for each amendment.</p>	
Submission Mode	<p>Submission mode of Guarantee.</p> <p>Amendment request. By default the submission mode will have the value as 'Desk'.</p> <p>Desk- Request received through Desk</p> <p>Email - Request received through Email</p> <p>Courier- Request received through Courier</p> <p>User can change the submission mode.</p>	Desk
Process Reference Number	<p>Unique process reference number for the transaction.</p> <p>This is auto generated by the system.</p>	203GTEISS00001134
Amendment Date	<p>Read only field.</p> <p>By default, the application will display branch's current date. User cannot change the date to back date or future date.</p>	04/13/2018
Customer Reference Number	<p>User can enter the 'Reference number' provided by the applicant/applicant bank.</p> <p>Enables the user to provide a unique Customer Reference Number for the amendment.</p>	
Related Reference	<p>Read only field.</p> <p>Related reference number will be auto-populated based on the system maintenance</p>	

Field	Description	Sample Values
Beneficiary Consent Required	<p>Toggle on: Beneficiary consent required for the amendment made to the fields.</p> <p>Toggle off: Switch off the toggle if beneficiary consent is not required for the amendments</p>	
SBLC/ Guarantee Details		
Form of Undertaking	<p>Read only field.</p> <p>Form of Undertaking defaults from Guarantee/ Standby Issuance</p>	
Type of Undertaking	<p>Read only field.</p> <p>Type of undertaking defaults from Guarantee/ Standby Issuance.</p>	
Narrative	If Type of Undertaking field value is OTHR , user must provide description in this field.	
Product Code	<p>Read only field.</p> <p>This field displays the product code defaulted from Guarantee/ Standby Issuance.</p>	
Product Description	<p>Read only field.</p> <p>This field displays the description of the product as per the product code.</p>	
Undertaking Amount	System defaults undertaking amount from registration stage. User can amend the value.	
Amount In Local Currency	<p>Read only field.</p> <p>System fetches the local currency equivalent value for the transaction amount from back office (with decimal places).</p>	
Purpose of Message	<p>Read only field.</p> <p>Purpose of message defaults from Guarantee/ Standby Issuance.</p>	
File Identification	<p>This field enables the user to select the type of delivery channel and its associated file name or reference from the available values:</p> <ul style="list-style-type: none"> ● COUR - Courier delivery ● EMAL - Email transfer ● FACT - SWIFTNet FileAct ● FAXT - Fax transfer ● HOST - Host-to-Host ● MAIL - Postal Delivery ● OTHR - Other delivery channel 	

Field	Description	Sample Values
Narrative	If File Identification field values are COUR or OTHR , user must provide description in this field.	
Expiry Type	Select the expiry type. By default the system displays the expiry date as maintained in Issuance.	
Date of Expiry	Read only field. The expiry date of the Guarantee Issuance defaults from Guarantee/ Standby Issuance.	
Auto Renewal	Enable this option for auto renewal of Guarantee amendment.	
Extension Required	Enable this option if extension is required for guarantee issuance internal amendment.	
Expiry Condition/Event	Read only field. This field specifies the documentary condition/ event that indicates when the local undertaking will cease to be available. This field is applicable only if Expiry Type field value is COND . For Guarantee Advising MT760, the value is read only and populated from Incoming MT 760.	
Applicable Rules	Read only field. This field displays the rules of the Guarantee/ Standby issuance.	
Narrative	Specify the description in this field, if Applicable Rules field values is OTHR.	
Applicant Bank	Read only field. System defaults the applicant bank name from Guarantee Issuance details.	001345 Nestle
Applicant	Read only field. This field displays the details of the applicant of the selected SBLC/Guarantee Number.	
Beneficiary	System defaults the beneficiary details of the selected Guarantee/ Standby issuance. User can change the beneficiary, if required.	

Field	Description	Sample Values
Advising Bank	<p>Specify or click Search icon to search and select the details of the advising bank.</p>  <p>Note</p> <p>In case the selected Bank is not RMA Compliant, the system prompts the user to use a different advising bank or use non SWIFT Media to transmit the LC and displays error message “RMA arrangement not available, please change the bank or use MAIL Medium”.</p>	
Advising Bank Reference	Specify the advising bank reference number.	
Advising Through Bank	Specify or click Search icon to search and select the advising through bank d.	
Counter SBLC/Guarantee Issuing Bank	<p>Read only field.</p> <p>The value of Counter Guarantee Issuing Bank defaults from Guarantee/ Standby Issuance.</p> <p>This field is applicable only if the Purpose of Message field has value as ICCO.</p>  <p>Note</p> <p>If Counter Issuing Bank has value, and in case the selected Bank is not RMA Compliant, the system displays error message “RMA arrangement not available”.</p>	
Local SBLC/Guarantee Issuing Bank	<p>Read only field.</p> <p>The value of Local Guarantee Issuing Bank defaults from Guarantee/ Standby Issuance.</p> <p>This field is applicable only if the Purpose of Message field has value as ICCO or ISCO.</p>  <p>Note</p> <p>If Local Issuing Bank has value and Counter Issuing Bank has no value, and in case the selected Bank is not RMA Compliant, the system displays error message “RMA arrangement not available”.</p>	
Supplementary Information About Amounts	This field specifies the additional amounts related to undertaking.	

Field	Description	Sample Values
Obligor/ Instructor Party	Click Search to search and select the name of the obligor from the lookup.	
Obligor Collateral Percentage	Specify the value for obligor collateral percentage.	
Closure Date	<p>System default the "Closure Date" value from the previous version of the contract.</p> <p>User can modify the system defaulted "Closure Date" and system should validate the same for the below conditions,</p> <ul style="list-style-type: none"> • Closure Date must be after the Issue Date. • Closure Date must be after the Expiry Date. • Closure Date cannot be blank 	
Accountee	<p>Read only field.</p> <p>System defaults the accountee name available in Guarantee.</p>	
Revenue Sharing Percentage	Read only field. System populates the values from the "Trade Finance Customer Maintenance" if any, from the Guarantee Contract.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Signature	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is available, system should display all the signatures.</p>
Documents	The user can upload the documents.
Remarks	The user can provide any additional information regarding the Guarantee ammendment. This information can be viewed by the users in other stages of the process.
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>

Field	Description
Cancel	Cancels the Guarantee Amendment Registration stage inputs and system should clear the details captured in the screen. The task will get deleted.
Save and Close	Save the information provided and displays the task in you queue for working later. This option will not submit the request
Submit	Task will get moved to next logical stage of Islamic Guarantee Issuance that is Data Enrichment stage. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.

Bi-Directional Flow for Offline Transactions Initiated from OBTFPM

This topic provides the systematic instructions to initiate the Bi-Directional Flow for Offline Transactions Initiated from OBTFPM.

Offline Transactions means those transactions which are not initiated by OBDX, but are initiated directly by the bank user in OBTFPM upon request received from the customer.

Pre- Conditions:

- Customer Maintenance details are replicated from OBTF to OBTFPM.
 - Task is initiated in OBTFPM, Customer ID is captured/populated and Process Reference Number is generated.
1. Customer Maintenance details are replicated from OBTF to OBTFPM.
 2. In OBTFPM, user clicks Request Clarification, the system checks if the request is initiated from OBDX by validating the value available in the submission mode field is "Online".
 3. In case submission mode is "Online", the user can enter the clarification details in "Clarification Required" placeholder. In case submission mode is not "Online", the system will validate if the counterparty is a OBDX customer by checking the flag "Trade Finance Portal" in the Customer Maintenance table replicated from OBTF. In this case, the user can submit clarification.
 4. In case submission mode is not "Online", and if the "Trade Finance Portal" flag is set to 'No' in Customer Maintenance Table, the system should display the error message that 'The customer is not subscribed to Trade Finance Portal'. Once the request is submitted, the Request Clarification functionality would be applicable to offline initiated transactions also.

Data Enrichment

As part of data enrichment, user can input new Islamic Guarantee Issuance Amendment request. User can enter/update basic details of the incoming request.



Note

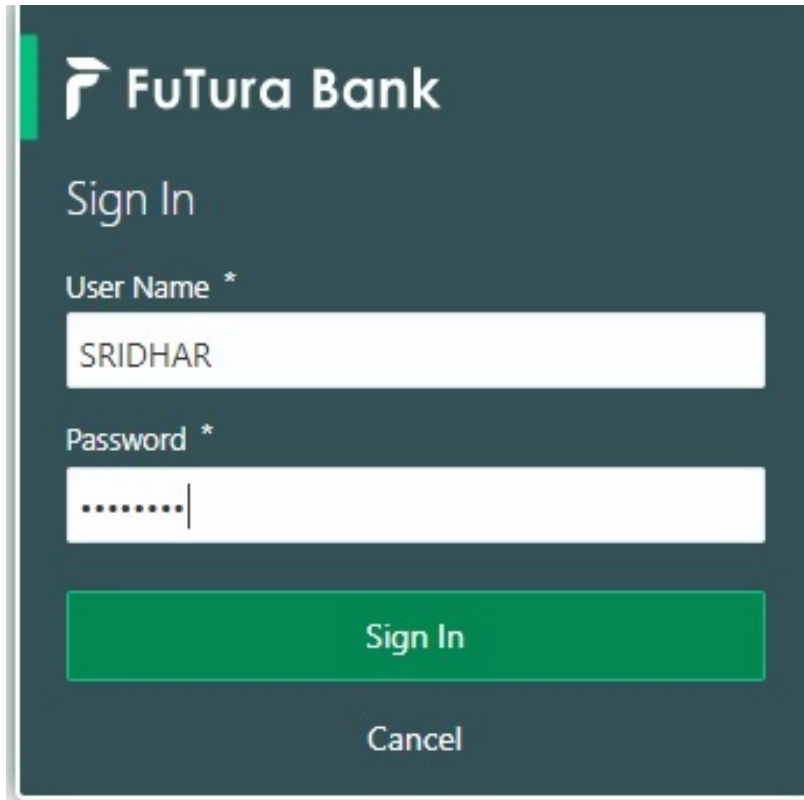
For expired line of limits, the task moves to "Limit Exception" stage under Free Tasks, on 'Submit' of DE Stage with the reason for exception as "Limit Expired".

In case of MT798 message, if the User encounters validation error during handling the task, user can put the transaction into hold and seek clarification from the customer in this stage. In DE stage the task is

verified and enriched. The user if required can update the editable fields. The fields that have been changed/updated have to be highlighted by the system and the user can check the incoming message place holder for the original value.

Do the following steps to acquire a task at Data Enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

3. Click **Tasks > Free Tasks**.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Numr
Acquire & E...	Medium	Guarantee Advise Amendment Islamic	PK2IGTU000071601	PK2IGTU000071601	DataEnrichment	22-03-24	PK2	001044
Acquire & E...	Medium	Guarantee Issuance Closure	PK2GTGEC000071599	PK2GTGEC000071599	DataEnrichment	22-03-24	PK2	000325
Acquire & E...	---	Guarantee Issuance Closure	PK2GTGEC000071596	PK2GTGEC000071596	Registration	22-03-24	PK2	000325
Acquire & E...	Medium	Guarantee Issuance Closure	PK2GTGEC000071593	PK2GTGEC000071593	AmountBlock Exception App...	22-03-24	PK2	000325
Acquire & E...	Medium	Guarantee Issuance Closure	PK2GTGEC000071595	PK2GTGEC000071595	DataEnrichment	22-03-24	PK2	000325
Acquire & E...	High	Guarantee Issuance	PK2GTGEC000071592	PK2GTGEC000071592	Scrutiny	22-03-24	PK2	001044
Acquire & E...	Medium	Guarantee Issuance Closure	PK2GTGEC000071591	PK2GTGEC000071591	DataEnrichment	22-03-24	PK2	000325
Acquire & E...	Medium	Guarantee SBLC Issuance -Claim Settlm...	PK2GISCO00071585	PK2GISCO00071585	Approval Task Level 1	22-03-24	PK2	000325
Acquire & E...	Medium	Guarantee Issuance Closure	PK2GTGEC000071590	PK2GTGEC000071590	DataEnrichment	22-03-24	PK2	000325
Acquire & E...	Medium	Guarantee SBLC Issuance -Claim Settlm...	PK2GISCO00071589	PK2GISCO00071589	DataEnrichment	22-03-24	PK2	000325
Acquire & E...	Medium	Guarantee Issuance Closure	PK2GTGEC000071587	PK2GTGEC000071587	DataEnrichment	22-03-24	PK2	000325
Acquire & E...	Medium	Guarantee SBLC Issuance-Claim Update	PK2GISCO00071583	PK2GISCO00071583	Approval Task Level 1	22-03-24	PK2	000325
Acquire & E...	Medium	Import LC Internal Amendment Islamic	PK2IIIA000071581	PK2IIIA000071581	DataEnrichment	22-03-24	PK2	001044

4. Select the appropriate amendment task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task.

Free Tasks

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Refresh Acquire Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer
<input checked="" type="checkbox"/>	Medium	Guarantee Issuance Amendment Islamic	PK2IGTM000071629	PK2IGTM000071629	DataEnrichment	22-03-25	PK2	001044
<input type="checkbox"/>	Medium	Guarantee Issuance Closure	PK2GTGEC000071628	PK2GTGEC000071628	DataEnrichment	22-03-25	PK2	000325
<input type="checkbox"/>	Medium	Lodge Claim - Guarantee Issued	PK2GTGEC000071493	PK2GTGEC000071493	Scrutiny	22-03-23	PK2	001044
<input type="checkbox"/>	Medium	Guarantee Issuance Closure	PK2GTGEC000071626	PK2GTGEC000071626	DataEnrichment	22-03-25	PK2	000325
<input type="checkbox"/>	Medium	Guarantee Issuance Closure	PK2GTGEC000071625	PK2GTGEC000071625	DataEnrichment	22-03-25	PK2	000325
<input type="checkbox"/>	Medium	Guarantee Advise Amendment Islamic	PK2IGTU000071624	PK2IGTU000071624	Approval Task Level 1	22-03-25	PK2	001044
<input type="checkbox"/>	Medium	Guarantee Advise	PK2GTEA000071618	PK2GTEA000071618	DataEnrichment	22-03-25	PK2	000325
<input type="checkbox"/>	Medium	Guarantee Issuance Closure	PK2GTGEC000071616	PK2GTGEC000071616	DataEnrichment	22-03-25	PK2	000325
<input type="checkbox"/>	Medium	Guarantee Issuance Closure	PK2GTGEC000071615	PK2GTGEC000071615	DataEnrichment	22-03-25	PK2	000325
<input type="checkbox"/>	Medium	Guarantee Advise	PK2GTEA000071613	PK2GTEA000071613	AmountBlock Exception App...	22-03-25	PK2	001044
<input type="checkbox"/>	--	Guarantee Issuance Closure	PK2GTGEC000071614	PK2GTGEC000071614	Registration	22-03-25	PK2	000325
<input type="checkbox"/>	High	Guarantee Issuance	PK2GTEI000071609	PK2GTEI000071609	Scrutiny	22-03-24	PK2	001044
<input type="checkbox"/>	Medium	Guarantee Issuance Closure	PK2GTGEC000071610	PK2GTGEC000071610	DataEnrichment	22-03-24	PK2	000325

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5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

My Tasks

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Refresh Release Escalate Delegate Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amou
<input checked="" type="checkbox"/>	Medium	Guarantee Issuance Am...	PK2IGTM000071629	PK2IGTM000071629	DataEnrichment	22-03-25	PK2	001044	
<input type="checkbox"/>	Medium	Guarantee Advise Amen...	PK2IGTU000071601	PK2IGTU000071601	Approval Task Level 1	22-03-24	PK2	001044	
<input type="checkbox"/>	High	Import LC Cancellation L...	PK2IIIC000071535	PK2IIIC000071535	Approval Task Level 1	22-03-23	PK2	001044	
<input type="checkbox"/>	Medium	Import LC Closure Islamic	PK2IICL000071499	PK2IICL000071499	Approval Task Level 1	22-03-23	PK2	001044	
<input type="checkbox"/>	Medium	Islamic Import Docume...	PK2IIDC000071481	PK2IIDC000071481	DataEnrichment	22-03-22	PK2	000325	
<input type="checkbox"/>	Medium	ExportLC Amendment B...	PK2IEAM000071470	PK2IEAM000071470	DataEnrichment	22-03-22	PK2	001204	
<input type="checkbox"/>	Medium	Islamic ExportLC Amend...	PK2IETB000071462	PK2IETB000071462	Approval Task Level 1	22-03-22	PK2	001204	
<input type="checkbox"/>	Medium	Islamic ExportLC Amend...	PK2IETB000071458	PK2IETB000071458	DataEnrichment	22-03-22	PK2	001204	
<input type="checkbox"/>	Medium	Islamic Export LC Transf...	PK2IETR000071451	PK2IETR000071451	Approval Task Level 1	22-03-22	PK2	000328	
<input type="checkbox"/>	Medium	Islamic Export Docume...	PK2IEDU000071338	PK2IEDU000071338	Approval Task Level 1	22-03-15	PK2	001044	
<input type="checkbox"/>	--	Import LC Liquidation	PK2IICL000071302	PK2IICL000071302	Registration	22-03-14	PK2	001044	
<input type="checkbox"/>	--	Islamic Import LC Liquid...	PK2IILL000071299	PK2IILL000071299	Registration	22-03-14	PK2	001044	
<input type="checkbox"/>	--	Islamic Import LC Liquid...	PK2IILL000071295	PK2IILL000071295	Registration	22-03-14	PK2	001044	

Page 1 of 2 (1 - 20 of 30 items) | K < 1 2 > |

The Guarantee Amendment - Data Enrichment stage has three sections as follows:

- Main Details
- Sequence B (Continued)
- Sequence C
- Amendment Details
- Additional Details
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Guarantee Amendment - Data Enrichment stage.

User can enter/update the following fields. Some of the fields that are already having value from registration/online channels may not be editable.

Main Details

Main details section has three sub section as follows:

- Application Details
- SBLC/ Guarantee Details

Application Details

All fields displayed under Application details section, would be read only except for the Priority. Refer to Registration for more information of the fields.

The screenshot shows the Oracle Banking Trade Finance application details form. The form is titled "Guarantee Issuance Amendment Islamic" and "Data Enrichment : Application No:- 032IGTM000167861". The form is divided into several sections: "Application Details", "SBLC/Guarantee Details", and "Product Code". The "Application Details" section includes fields for SBL/Guarantee Number (032GLR232156001), Branch (032-Oracle Banking Trade Finan...), Process Reference Number (032IGTM000167861), Beneficiary Consent Required (radio button), Received From Applicant Bank (radio button), Priority (Medium), Amendment Date (Aug 3, 2023), Received From - Customer ID (032204), Amendment Number (1), Customer Reference Number, Received From - Customer Name (Air Arabia), Submission Mode (Desk), and Related Reference (032GLR232156001). The "SBLC/Guarantee Details" section includes fields for 22D - Form of Undertaking (DGAR - Guarantee), Product Description (Islamic Guarantee Issuance / Reissuance), 23X - File Identification, Auto Renewal (radio button), 40C - Narrative, Advising Bank, Local SBL/Guarantee Issuing Bank, Closure Date (Sep 12, 2026), Type of Undertaking, 32B - Undertaking Amount (AED 10,000.00), 23X - Narrative, Extension Required (radio button), Applicant Bank, Advising Bank Reference, 39F - Supplementary Information About Amount, Accountee, Narrative, Amount In Local Currency (AED 10,000.00), 23B - Expiry Type (OPEN), 35G - Expiry Condition/ Event, Applicant (032204 Air Arabia), Advise Through Bank, 51 - Obligor/ Instructing Party (100201 Airtel Custom), Revenue Sharing Percentage, Product Code (GLIR), 22A - Purpose of Message (Amendment to Undertaking), Date of Expiry (Aug 3, 2026), 40C - Applicable Rules (UCPR - Uniform customs and Pr...), Beneficiary (032207 Emaar Propert), Counter SBL/Guarantee Issuing Bank, and Obligor Collateral Percentage (0). The form has a navigation bar at the bottom with buttons for "Request Clarification", "Reject", "Refer", "Hold", "Cancel", "Save & Close", "Back", and "Next".

SBLC/ Guarantee Details

The fields listed under this section are same as the fields listed under the SBLC Guarantee Details section in Registration. During Registration, if user has not captured input, then user can capture the details in this section.

The screenshot shows the Oracle Banking Trade Finance SBLC/Guarantee Details form. The form is titled "SBLC/Guarantee Details" and "22D - Form of Undertaking (DGAR - Guarantee)". The form is divided into several sections: "Product Description", "23X - File Identification", "Auto Renewal", "40C - Narrative", "Advising Bank", "Local SBL/Guarantee Issuing Bank", "Closure Date", "Type of Undertaking", "32B - Undertaking Amount", "23X - Narrative", "Extension Required", "Applicant Bank", "Advising Bank Reference", "39F - Supplementary Information About Amount", "Accountee", "Narrative", "Amount In Local Currency", "23B - Expiry Type", "35G - Expiry Condition/ Event", "Applicant", "Advise Through Bank", "51 - Obligor/ Instructing Party", "Revenue Sharing Percentage", "Product Code", "22A - Purpose of Message", "Date of Expiry", "40C - Applicable Rules", "Beneficiary", "Counter SBL/Guarantee Issuing Bank", and "Obligor Collateral Percentage". The form has a navigation bar at the bottom with buttons for "Request Clarification", "Reject", "Refer", "Hold", "Cancel", "Save & Close", "Back", and "Next".

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.
Overrides	Click to view overrides, if any.
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.

Field	Description
Incoming Message	<p>This button displays the multiple messages (MT767+ up to 7 MT775).</p> <p>Click to allow parsing of MT 767 along with MT775 (up to 7) messages together to create a Guarantee Issuance.</p> <p>In case of MT798, the User can click and view the MT798 message(763,767/768).</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>
View Undertaking	Clicking this button allows the user to view the undertaking details.
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>
Request Clarification	Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions that are initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system and the task may get terminated or moved to Reject Approval Stage.
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others
Hold	The details provided will be registered and status will be on hold.
Cancel	Cancels the details captured in the screen. The task will get deleted.

Field	Description
Save and Close	User will save the information provided and close the details captured. This option will not submit the request.
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment as Sequence B.

Sequence B (Continued)

As part of DE, the user can verify and enter the basic details available in the Guarantee issuance amendment Islamic. In case the request is received through online channel, the user verifies the details populated. This section lists the amendments made to the issued guarantee. This is the next step of Guarantee Amendment process. The hop has following three sections:

- Preferences
- Delivery of Original Undertaking
- Others

Liability Change Schedule

The screenshot shows the Oracle Banking Trade Finance interface for 'Guarantee Issuance Amendment Islamic'. The 'Sequence B (Continued)' section is active, displaying several sub-sections: 'Preferences' with a field for '77U - Other Amendments To Undertaking' (value: TC), 'Delivery of Original Undertaking' with fields for '24E - Narrative', '24G - Delivery to/ Collection by' (value: BENE - Beneficiary), and '24G - Narrative', and 'Others' with a field for '72Z - Sender to Receiver Information'. The 'Liability Change Schedule' section is expanded, showing a table with columns: Sequence Number, Scheduled Date, Amount, Percentage, Liability Type, and Action. The table is currently empty, displaying 'No data to display.' The bottom of the screen features a navigation bar with buttons for 'Request Clarification', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

Field	Description	Sample Values
Preferences		
Other Amendments to Undertaking	The field displays the content from MT767 and all the applicable MT 775. The user can change the value of requesting bank details.	
Delivery of Original Amendment		

Field	Description	Sample Values
Delivery of Original Amendment	<p>User can select the issuing date. Select the type of delivery mode by which the original local undertaking is to be delivered from the LOV:</p> <ul style="list-style-type: none"> • COLL - By Collection • COUR - By Courier (e.g. Fedex, DHL, UPS) • MAIL - By Mail • MESS - By Messenger - Hand-deliver • OTHR - Other method • REGM - By Registered Mail or Airmail <p>Additional Information may be present if Code has the value COUR or OTHR, otherwise it is not allowed.</p>	
Narrative	<p>User can enter the description only if the File Identification values are:</p> <ul style="list-style-type: none"> • COUR • OTHR 	
Delivery to/ Collection by	<p>Select the value to whom the original local undertaking is to be delivered or by whom the original local undertaking is to be collected, from the LOV:</p> <ul style="list-style-type: none"> • BENE – Beneficiary • OTHR - Specified Address 	
Narrative	<p>User can enter the description '.</p> <p>This field is mandatory if Delivery to/ Collection by field value is OTHR</p>	
Others		
Sender to Receiver Information	Select the additional information for the Receiver.	
Liability Change Schedule		
Liability Change Type	<p>Read only field.</p> <p>Displays the liability change type.</p>	
Additional Details	Displays the additional details, if any.	
Standard Type	<p>Select the standard type.</p> <p>The options are:</p> <ul style="list-style-type: none"> • Standard • Non-Standard 	

Field	Description	Sample Values
Liability Type	Select whether increase or decrease of liability or both are involved.. The options are: <ul style="list-style-type: none"> • Increased • Decreased • Both 	
Schedule Grid		
Sequence Number	Displays the sequence number of liability record.	
Schedule Date	Schedule date of the liability change.	
Amount	Amount of liability.	
Percentage	Percentage of liability.	
Liability Type	Type of liability.	
Action	Click to edit the record.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.
Overrides	Click to view overrides, if any.

Field	Description
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	<p>This button displays the multiple messages (MT767+ up to 7 MT775).</p> <p>Click to allow parsing of MT 767 along with MT775 (up to 7) messages together to create a Guarantee Issuance.</p> <p>In case of MT798, the User can click and view the MT798 message(763,767/768).</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>
View Undertaking	<p>Clicking this button allows the user should to view the undertaking details.</p>
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>
Request Clarification	<p>User should be able to submit the request for clarification to the “Trade Finance Portal” User for the transactions initiated offline.</p>
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system and the task may get terminated or moved to Reject Approval Stage.</p>

Field	Description
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others
Hold	The details provided will be registered and status will be on hold.
Cancel	Cancels the details captured in the screen. The task will get deleted.
Save and Close	User will save the information provided and close the details captured. This option will not submit the request.
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment as Sequence B.




Sequence C



As part of DE, the user can verify and enter the basic details available in the Guarantee issuance amendment. In case the request is received through online channel, the user verifies the details populated. The fields listed under this section are same as the fields listed under the Amendment of Guarantee/SBLC Issued – Sequence C and allow the user to amend them. In case of requests received from online channels (Internet Banking), the details will be populated directly in the screen.

The hop has following three sections:

- Preferences
- Underlying Transaction Details

- Delivery of Original Undertaking

Field	Description	Sample Values
Preferences		
Beneficiary	<p>Read only field.</p> <p>This field displays the beneficiary details of the guarantee and user can amend.</p> <p> Note</p> <p>In case of Online requests, the details are auto-populated and user cannot change the value.</p>	
Currency Code, Amount	<p>System defaults the currency code and amount from Amendment of Guarantee/SBLC Issued – Sequence B and allow as a read only field.</p> <p> Note</p> <p>In case of Online requests, the details are auto-populated and user cannot change the value.</p>	
Expiry Type	<p>Read only field.</p> <p>System defaults expiry type from Amendment of Guarantee/SBLC Issued – Sequence B and user can amend.</p> <p> Note</p> <p>In case of Online requests, the details are auto-populated and user cannot change the value.</p>	

Field	Description	Sample Values
Date of Expiry	<p>Read only field.</p> <p>The date of expiry of the Guarantee Issuance. The date of expiry cannot be greater than the expiry date mentioned in Amendment of Guarantee/SBLC Issued – Sequence B and earlier than the application dated.</p>  <p>Note</p> <p>In case of Online requests, the details are auto-populated and user cannot change the value.</p>	
Expiry Condition/ Event	<p>Read only field.</p> <p>System defaults Expiry Condition/ Event from Amendment of Guarantee/SBLC Issued – Sequence B and user can amend.</p>  <p>Note</p> <p>In case of Online requests, the details are auto-populated and user cannot change the value.</p>	
Underlying Transaction Details		
Other Amendments To Undertaking	<p>Read only field.</p> <p>The field displays the content from MT767 and all the applicable MT 775.</p>	
Delivery of Original Undertaking		
Delivery of Original Amendment	<p>Read only field.</p> <ul style="list-style-type: none"> ● The type of delivery mode by which the original local undertaking is to be delivered. COLL - By Collection ● COUR - By Courier (e.g. Fedex, DHL, UPS) ● MAIL - By Mail ● MESS - By Messenger - Hand-deliver ● OTHR - Other method ● REGM - By Registered Mail or Airmail <p>Additional Information may be present if Code has the value COUR or OTHR, otherwise it is not allowed.</p>	
Narrative	<p>User can enter the description only if the File Identification values are:</p> <ul style="list-style-type: none"> ● COUR ● OTHR 	

Field	Description	Sample Values
Delivery to/ Collection by	<p>Read only field.</p> <p>The value to whom the original local undertaking is to be delivered or by whom the original local undertaking is to be collected.</p> <ul style="list-style-type: none"> • BENE – Beneficiary • OTHR - Specified Address Additional Information may be present if Code has the value COUR or OTHR, otherwise it is not allowed. 	
Narrative	User can enter the description only if the Delivery to/ Collection by values is 'OTHR':	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.
Overrides	Click to view overrides, if any.

Field	Description
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	<p>This button displays the multiple messages (MT767+ up to 7 MT775).</p> <p>Click to allow parsing of MT 767 along with MT775 (up to 7) messages together to create a Guarantee Issuance.</p> <p>In case of MT798, the User can click and view the MT798 message(763,767/768).</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>
View Undertaking	<p>Clicking this button allows the user should to view the undertaking details.</p>
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>
Request Clarification	<p>User should be able to submit the request for clarification to the “Trade Finance Portal” User for the transactions initiated offline.</p>
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system and the task may get terminated or moved to Reject Approval Stage.</p>

Field	Description
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others
Hold	The details provided will be registered and status will be on hold.
Cancel	Cancels the details captured in the screen. The task will get deleted.
Save and Close	User will save the information provided and close the details captured. This option will not submit the request.
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment as Sequence B.

Acknowledgement Details

Data Enrichment user wants to enter the basic acknowledgement details of the Islamic Guarantee Issuance Amendment request.

The screenshot displays the Oracle Data Enrichment interface for an Islamic Guarantee Issuance Amendment request. The main content area is titled "Acknowledgement Details" and shows the following fields:

- Issuing Bank Reference:** 57a - Account with Bank
- 25 Account Identification:** 71 D Charges
- 30 Date of Acknowledgement:** Aug 3, 2023
- 32a Amount of Charges:** (Dropdown menu)
- 72-Sender to Receiver Information:** (Searchable field)
- 79Z Narrative:** (Searchable field)

The interface includes a navigation menu on the left with options like Main, Sequence B (Continued), Sequence C, Acknowledgement Details (selected), Additional Fields, Amendment Snapshot, Advices, Additional Details, Settlement Details, and Summary. At the bottom, there are action buttons: Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

Provide the Acknowledgment Details based on the information in the following table:

Field	Description	Sample Values
MT 768 - Acknowledgment Details (This is applicable in case of Counter Guarantee/Counter Counter Guarantee Issuing Bank)		
Issuing Bank Reference	Specify the issuing bank reference.	
Account Identification	Select the account which is used for settlement of charges where necessary.	
Date of Acknowledgement	System defaults the date on which the message being acknowledged was sent. System displays the Branch Date. If the task is approved on a later date, then the date should be updated as the branch date as on approval. The user can change the date.	
Amount of Charges	Select the currency code and enter the total amount of charges claimed by the advising bank. If the Sender services an account for the Receiver in the currency of the charges, and this System should default the Date of Debit as the branch date as on approval.	
Account with Bank	Select the bank at which the Sender wishes to receive credit for charges claimed.	
Charges	The user should be able to input more details about the charges	
Sender to Receiver Information	Select any additional information the advising bank sends to the Issuing bank. This can be maintained as an FFT	
Narrative	Click Search icon ton search and select the narrative from the look-up.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.

Field	Description
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>
Overrides	<p>Click to view overrides, if any.</p>
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	<p>This button displays the multiple messages (MT767+ up to 7 MT775).</p> <p>Click to allow parsing of MT 767 along with MT775 (up to 7) messages together to create a Guarantee Issuance.</p> <p>In case of MT798, the User can click and view the MT798 message(763,767/768).</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>
View Undertaking	<p>Clicking this button allows the user should to view the undertaking details.</p>

Field	Description
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system and the task may get terminated or moved to Reject Approval Stage.
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others
Hold	The details provided will be registered and status will be on hold.
Cancel	Cancels the details captured in the screen. The task will get deleted.
Save and Close	<p>User will save the information provided and close the details captured.</p> <p>This option will not submit the request.</p>
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment as Sequence B.

Additional Fields

This section displays the additional fields based on the User defined fields maintained in the system.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.
Overrides	Click to view overrides, if any.

Field	Description
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	<p>This button displays the multiple messages (MT767+ up to 7 MT775).</p> <p>Click to allow parsing of MT 767 along with MT775 (up to 7) messages together to create a Guarantee Issuance.</p> <p>In case of MT798, the User can click and view the MT798 message(763,767/768).</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>
View Undertaking	<p>Clicking this button allows the user should to view the undertaking details.</p>
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>
Request Clarification	<p>User should be able to submit the request for clarification to the “Trade Finance Portal” User for the transactions initiated offline.</p>
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system and the task may get terminated or moved to Reject Approval Stage.</p>

Field	Description
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others
Hold	The details provided will be registered and status will be on hold.
Cancel	Cancels the details captured in the screen. The task will get deleted.
Save and Close	User will save the information provided and close the details captured. This option will not submit the request.
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment as Sequence B.

Amendment Snapshot

Data Enrichment user wants to enter the basic amendment details of the Islamic Guarantee Issuance Amendment Islamic request. At this step user can view all the fields that are amended with the old values and the amended value of the Guarantee/Standby undertaking.

The screen displays the latest Guarantee /SBLC value before amendment and the new amended value.

The screenshot shows the Oracle Banking Trade Finance application interface. The main content area displays the 'Amendment Snapshot' for 'Sequence B'. A table lists the amended fields:

Field Name	Amended Value	Value as per Undertaking
24G- Delivery to/ Collection by	BENE	

The interface also includes a navigation menu on the left with options like Main, Sequence B (Continued), Sequence C, Acknowledgement Details, Additional Fields, Amendment Snapshot (selected), Advices, Additional Details, Settlement Details, and Summary. The bottom toolbar contains buttons for Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

Field	Description	Sample Values
Sequence B		
Field Name	Displays the fields that are amended.	
Amended Value	Displays the Guarantee /SBLC value before amendment.	
Value as per Undertaking	Displays the Guarantee /SBLC new amendment value after amendment.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.
Overrides	Click to view overrides, if any.
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.

Field	Description
Incoming Message	<p>This button displays the multiple messages (MT767+ up to 7 MT775).</p> <p>Click to allow parsing of MT 767 along with MT775 (up to 7) messages together to create a Guarantee Issuance.</p> <p>In case of MT798, the User can click and view the MT798 message(763,767/768).</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>
View Undertaking	Clicking this button allows the user should to view the undertaking details.
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system and the task may get terminated or moved to Reject Approval Stage.
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others
Hold	The details provided will be registered and status will be on hold.
Cancel	Cancels the details captured in the screen. The task will get deleted.

Field	Description
Save and Close	User will save the information provided and close the details captured. This option will not submit the request.
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment as Sequence B.

Advices

Data Enrichment user wants to verify the advice details of the Islamic Guarantee Issuance Amendment request. This section defaults the advices maintained for the product based on the advices maintained at the Product level.

Guarantee Issuance Amendment Islamic
DataEnrichment :: Application No:- PK2IGTM000071629

Documents Remarks Overrides Customer Instruction Incoming Message View Undertaking

Main
Sequence B (Continued)
Sequence C
Acknowledgement Details
Additional Fields
Amendment Snapshot
Advices
Additional Details
Settlement Details
Summary

Screen (7 / 10)

Advice : GUA_AMD_INSTR	Advice : GUA_AMD_INSTR	Advice : AMD_IMP_CR	Advice : AMD_IMP_CR
Advice Name : GUA_AMD_INSTR Advice Party : ABK Party Name : WELLS FARGO LA Suppress : NO Advice	Advice Name : GUA_AMD_INSTR Advice Party : ABK Party Name : WELLS FARGO LA Suppress : NO Advice	Advice Name : AMD_IMP_CR Advice Party : APP Party Name : NATIONAL FREIGHT CORP Suppress : NO Advice	Advice Name : AMD_IMP_CR Advice Party : APP Party Name : NATIONAL FREIGHT CORP Suppress : NO Advice
Advice : LC_CASH_COL_A...	Advice : LC_CASH_COL_A...	Advice : PAYMENT_MESS...	Advice : PAYMENT_MESS...
Advice Name : LC_CASH_COL_ADV Advice Party : APP Party Name : NATIONAL FREIGHT CORP Suppress : NO Advice	Advice Name : LC_CASH_COL_ADV Advice Party : APP Party Name : NATIONAL FREIGHT CORP Suppress : NO Advice	Advice Name : PAYMENT_MESSAGE Advice Party : Party Name : Suppress : NO Advice	Advice Name : PAYMENT_MESSAGE Advice Party : Party Name : Suppress : NO Advice

Reject Refer Hold Cancel Save & Close Back Next

The user can also suppress the Advice, if required.

Advice Details ✕

Advice Details

Suppress Advice

Party ID: 001041



Advice Name: GUA_AMD_INSTR

Medium: SWIFT

Advice Party: ABK




Party Name: WELLS FARGO LA

FFT Code +



FFT Code	FFT Description	Action
GUARAMEND		 

Page 1 of 1 (1 of 1 items) ⏪ 1 ⏩

Instructions +

Instruction Code	Instruction Description	Edit	Action
NOTIFY	INSTRUCTION CODE		 

OK Cancel

Field	Description	Sample Values
Suppress Advice	Toggle on: Switch on the toggle if advice is suppressed. Toggle off: Switch off the toggle if suppress advice is not required for the amendments	
Advice Name	User can select the instruction code as a part of free text.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party ID	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party Name	Read only field. Value be defaulted from Guarantee /SBLC Issuance.	
Free Format Text		
	Click plus icon to add new FFT code.	
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected. User can update if required.	
Edit icon	Click edit icon to edit the existing FFT description.	
Action	Click edit icon to edit the existing FFT code. Click delete icon to remove any existing FFT code.	
Instruction Details		
	Click plus icon to add new instruction code.	
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected. User can update if required.	

Field	Description	Sample Values
Edit icon	Click edit icon to edit the existing Instruction description.	
Action	Click edit icon to edit the existing Instruction code. Click delete icon to remove any existing Instruction code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.
Overrides	Click to view overrides, if any.
Customer Instruction	Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.

Field	Description
Incoming Message	<p>This button displays the multiple messages (MT767+ up to 7 MT775).</p> <p>Click to allow parsing of MT 767 along with MT775 (up to 7) messages together to create a Guarantee Issuance.</p> <p>In case of MT798, the User can click and view the MT798 message(763,767/768).</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>
View Undertaking	Clicking this button allows the user should to view the undertaking details.
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system and the task may get terminated or moved to Reject Approval Stage.
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others
Hold	The details provided will be registered and status will be on hold.
Cancel	Cancels the details captured in the screen. The task will get deleted.

Field	Description
Save and Close	User will save the information provided and close the details captured. This option will not submit the request.
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment as Sequence B.

Additional Details

As part of DE, the user can verify and enter the basic additional details available in the Guarantee issuance amendment. In case the request is received through online channel, the user verifies the details populated. In the Additional details section, Guarantee /Standby amendment can have impact on the Limits and Collaterals section.

If any of the fields in the financial section of the pop up screen is checked then the limits and collaterals screen will be enabled.

For non-financial and narrative field amendments, the Limits and Collaterals screen will be read only. User cannot make changes.

Guarantee Amendment - DataEnrichment :: Application No: PK2GTEA000003034

Screen (8 / 10)

Limit & Collateral	Charge Details	Preview Message
Limit Currency : Limit Contribution : Limit Status : Collateral Currency : Collateral : Contribution : Collateral Status :	Charge : GBP 100 Commission : GBP 244.11 Tax : GBP 7212.21 Block Status : Not Initiated	Confirmation Req. : Confirm Response : Response Date :

Buttons: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, Next

Limit and Collateral

There is change in limits, if the below fields were amendment.

- Increase in Amount
- Increase in Expiry Date

The additional details are displayed as tile. The tiles displays a list of important fields with values. User will be able to drill down from tiles into respective data segments. User can select the tile, an update the respective details.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

The screenshot shows a window titled "Limit & Collateral" with three main sections, all of which are currently empty:

- Limit Details:** A table with columns: Customer ID, Linkage Type, Liability Number, Line Id/Linkage Ref No, Line Serial, Contribution %, Contribution Currency, Amount to Earmark, Limit Check Response, Response Message, and View. Below the table, it says "No data to display."
- Cash Collateral Details:** Includes fields for Collateral Percentage, Collateral Currency and amount (set to AED), and Exchange Rate (set to 1.0). Below is a table with columns: Sequence Number, Settlement Account Currency, Settlement Account, Exchange Rate, Collateral %, Contribution Amount, Contribution Amount in Account Currency, and Account Balance Check Response. It also says "No data to display."
- Deposit Linkage Details:** A table with columns: Deposit Account, Deposit Currency, Deposit Maturity Date, Transaction Currency, Deposit Available In Transaction Currency, Linkage Amount(Transaction Currency), Edit, and Delete. It says "No data to display." and includes a pagination bar showing "Page 1 (0 of 0 items)".


Buttons for "Save & Close" and "Close" are visible at the bottom right.

Provide the Limit Details based on the description in the following table:

The screenshot shows the "Limit Details" pop-up form with the following data:

- Customer Id: 032204
- Linkage Type: Facility
- Contribution %: 100.0
- Liability Number: 032204
- Contribution Currency: AED
- Line Id/Linkage Ref No: 032204AED
- Limit/Liability Currency: AED
- Limits Description: (empty)
- Limit Check Response: Available
- Amount to Earmark: AED 110.00
- Expiry Date: (empty)
- Limit Available Amount: AED 0.00
- Response Message: Balance available of AED 99994260148;
- ELCM Reference Number: (empty)


Buttons at the bottom: Verify, Save & Close, Close.

Field	Description	Sample Values
	Click plus icon to add new Limit Details.	

Limit Details

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

Field	Description	Sample Values
Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Linkage Type	Select the linkage type. Linkage type can be: <ul style="list-style-type: none"> • Facility • Liability By default Linkage Type should be "Facility".	
Contribution%	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Liability Number	Click Search to search and select the Liability Number from the look-up. The list has all the Liabilities mapped to the customer.	
Contribution Currency	The LC currency will be defaulted in this field.	
Line ID/Linkage Ref No	Click Search to search and select the from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.  <p>Note</p> User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field. This field is disabled and read only, if Linkage Type is Liability .	
Line Serial	Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid.	

Field	Description	Sample Values
Limit/ Liability Currency	Limit Currency will be defaulted in this field, when you select the Liability Number	
Limits Description	This field displays the limits description.	
Limit Check Response	Response can be 'Success' or 'Limit not Available' based on the limit service call response.	
Amount to Earmark	Amount to Earmark will default based on the contribution %. User can change the value.	
Expiry Date	This field displays the date up to which the Line is valid	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. The value in this field appears, if you click the Verify button.	
Response Message	Detailed Response message. The value in this field appears, if you click the Verify button.	
ELCM Reference Number	This field displays the ELCM reference number.	
Below fields appear in the Limit Details grid along with the above fields.		
Line Serial	Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid.	
Edit	Click the link to edit the Limit Details	
Delete icon	Click delete icon to delete the existing limit details.	

Collateral Details

Provide the collateral details based on the description provided in the following table:

Collateral Details ✕

Total Collateral Amount *	AED 10.00	Collateral Amount to be Collected *	AED 10.00
Sequence Number	1.0	Collateral Split % *	10.0 ▼ ▲
Collateral Contribution Amount *	AED 1.00	Settlement Account *	0912160013 🔍
Settlement Account Currency	AED	Exchange Rate	1.0 ▼ ▲
Contribution Amount in Account Currency	AED 1.00	Account Available Amount	AED 1,984,452.45
Response	VS	Response Message	The amount block can be performed as the account has sufficient balance

Verify

✓ Save & Close ✕ Cancel

Deposit Linkage Details

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	


Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Currency	Select the Settlement Account Currency.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. Account available amount will be auto-populated based on the Settlement Account selection.	

Field	Description	Sample Values
Response	Response can be 'Success' or 'Amount not Available'. System populates the response on clicking the Verify button.	
Response Message	Detailed Response message. System populates the response on clicking the Verify button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.


Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".	
Contribution Amount	Collateral contribution amount will get defaulted in this field. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

In this section which the deposit linkage details is captured.

System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly.

Deposit Linkage Details ✕

Customer Id 091215 <input type="text"/>	Deposit Account PK2CDP1221100002 <input type="text"/>
Deposit Branch PK2 <input type="text"/>	Deposit Maturity Date <input type="text"/>
Deposit Available Amount AED <input type="text"/> AED 87,508.00	Deposit Available In Transaction Currency <input type="text"/>
Exchange Rate <input type="text"/>	Linkage Amount(Transaction Currency) * AED <input type="text"/> AED 450.00
Linkage Percentage % * 45.00 <input type="text"/>	

Field	Description	Sample Values
Click + plus icon to add new deposit details.		
Customer Id	Customer ID is defaulted from the system. User can change the customer ID.	
Deposit Account	Click Search to search and select the deposit account from the look-up. All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage.	
Deposit Branch	Branch will be auto populated based on the Deposit account selection.	
Deposit Available Amount	Amount will be auto-populated based on the Deposit Account selection.	
Deposit Maturity Date	Maturity Date of deposit is displayed based on the Deposit Account selection.	
Exchange Rate	Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core.	
Deposit Available in Transaction Currency	Deposit amount available should be displayed after exchange rate conversion, if applicable.	
Linkage Percentage%	Specify the value for linkage percentage.	
Linkage Amount (Transaction Currency):	System to default the transaction amount user can change the value. System validates the linking amount with available Deposit balance and should not allow to link more than the available amount.	
Below fields appear in the Deposit Details grid along with the above fields.		
Deposit Currency	The currency will get defaulted in this field.	
Transaction Currency	The currency will get defaulted in this field from the underlying task.	
Delete Icon 	Click minus icon to remove the existing Linked deposit details by selecting the Deposit.	
Edit Link	Click edit link to edit any existing deposit Details.	

Commission, Charges and Taxes Details

After Advices, click on Next button and on landing the additional tab, charges and tax if any will get defaulted from Back end simulation. If default charges are available under the product, they should be

defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

The system also default the Charges/Commission Party maintained for the customer as per defined Class Maintenance in OBTF. System simulates the Charges, Commission and Tax details from the Back office.

Recalculate
Redefault

Commission Details

Event:

Event Description:

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Split	Charge Party	Settl. Acct	Amend
No data to display.											

Page 1 of 0 (0 of 0 items) < 1 >

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Split	Charge Party	Settlement Account
CHGTRAMND			GBP	£100.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		PK20010440017

Page 1 of 1 (1 of 1 items) < 1 >

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
No data to display.							

Split Settlement

select	Component	Currency	Amount
<input checked="" type="checkbox"/>	CHGTRAMND_LIQD	GBP	£100.00

Page 1 of 1 (1 of 1 items) < 1 >

Split Settlement Details

Sequence	Component	Amount	Percentage	Branch	Account Currency	Account	Exchange Rate	Original Exchange Rate	Party Type	Customer	AR-AP Tracking	Loan/
1	CHGTRAMND_LIQD_S01	50	50.00	PK2	GBP	PK200104	1	1	BEN	001044	<input type="checkbox"/>	N
2	CHGTRAMND_LIQD_S02	50	50.00	PK2	GBP	PK20037	1	1	ISB	003763	<input type="checkbox"/>	N

Save & Close
Close

Provide the Charge Details based on the description provided in the following table:

Commission Details

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	

Field	Description	Sample Values
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.	
Split	The user can split the Commission by enabling/ disabling the flag as per the requirement.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary.	
Settlement Account	Details of the Settlement Account.	
Amend	Displays if the field is amendable or not.	

Charge Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	User can enter a new amount in 'Modified amount' field. This will be the new charge for the modified component.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Split	The bank User can split the Charges/Commission by enabling/disabling the flag as per the requirement.	

Field	Description	Sample Values
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system. Tax details are defaulted from the back-end system.

Following Tax Details will be displayed:

Field	Description	Sample Values
Component	Tax Component type.	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If tax are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Settlement Account	Details of the settlement account.	

Split Settlement

Once the user clicks on the Recalculate button to fetch the Split Settlement details from Backoffice, new section "Split Settlement" will appear below the 'Tax' section. The default parties in Split row should be fetched from OBTF.

Field	Description	Sample Values
Component	The split component type eligible for Split .	
Currency	The currency of split settlement.	
Amount	The amount of split settlement.	

Split Settlement Details

Split Settlement details section appears from Back office, when the user clicks on the Recalculate button.

Split Settlement Details ×

Component	Amount
CHGTRAMND_LIQD_S01	50
Customer	<input type="checkbox"/>
001044	Account Currency
Account	GBP
PK20010440017	Percentage
Branch	50.00
PK2	Original Exchange Rate
Exchange Rate	1
1	Negotiation Reference
Party Type	
BEN	Loan/Finance Account
AR-AP Tracking	N
<input type="checkbox"/>	
Negotiation Rate	

Field	Description	Sample Values
Sequence	The sequence number is auto populated with the value, generated by the system.	
Component	The split component type eligible for Split.	
Amount	The system splits the respective Charge/ Commission amount automatically between counter party and third party with 50% value by default. The bank user can modify the amount. More than two splits are not allowed.	
Customer	Indicates the ID of the Customer in Split Settlement Details section.	
Account	The system defaults the settlement account. User can modify the settlement account. System initiates a call to common core tables within OBTFPM to select the account	
Account Currency	Defaults the currency of the account.	
Branch	Indicates the branch of the customer where transaction is getting processed.	
Percentage	The system splits the respective Charge/ Commission percentage automatically between counter party and third party with 50% value by default. More than two splits are not allowed. The bank user can modify the amount. The system should validate that the total percentage of each component doesn't exceed 100 and the total amount of each component doesn't exceed total component amount.	
Exchange Rate	System populates the exchange rate maintained.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in split settlement details section.	
Party Type	System displays the party type in split settlement details section.	
Negotiation Reference	Specify the negotiation reference number.	
AR-AP Tracking	Indicates to defer the charge/ commission in Split Settlement Details section. The user can modify the AR-AP Tracking flag as per the requirements.	
Loan/Finance Account	Displays the loan account.	

Field	Description	Sample Values
Negotiation Rate	Specify the negotiation rate.	

Preview Message

The bank user can view a preview of the outgoing SWIFT message and advise simulated from back office.



Note

A bank user can share the Draft SWIFT message to the customer through email, before the actual transmission of SWIFT message to the Advising Bank.

The OBTFPM user can send the draft of the message to the registered email id of the corporate customer as an attachment containing PDF. The PDF sent to the corporate customer is protected by a password. Password to be generated with first four digits of Customer Name and last four digits of Customer Number.

The Preview section consists of following.

Preview – SWIFT Message

Based on the guarantee amendment captured in the previous screen, the preview message simulated from the back office and the user can view the message.

Preview – Mail Advice

Based on the guarantee amendment captured in the previous screen, the preview message-mail advice is simulated from the back office and the user can view the message.

Legal Verification Required:

If the guarantee amendment message has to be verified and approved by Legal department before issue, the user can select the option for legal verification.

Draft Confirmation Required:

If the guarantee amendment message is to be approved by the customer before issue, then the user has to select the option for draft confirmation.

Field	Description	Sample Values
Preview - SWIFT Message		
Language	Read only field. English is set as default language for the preview.	
Message type	Select the message type from the drop down. User can choose to see preview of different message like MT 700, MT 740 and MT 701.	
Message Status	Read only field. Display the message status of draft message of guarantee details.	
Repair Reason	Read only field. Display the message repair reason of draft message of guarantee details.	
Preview Message	Display a preview of the draft message.	
Preview - Mail Device		
Language	Read only field. English is set as default language for the preview.	
Advice Type	Select the advice type.	
Message Status	Read only field. Display the message status of advice type of guarantee details.	
Repair Reason	Read only field. Display the message repair reason of advice type of guarantee details.	
Preview Message	Display a preview of the advice.	
Draft Confirmation		
Draft Confirmation Required	This toggle enables the user to select if draft confirmation is required or not	
Following fields will have values on receipt of customer response.		
Customer Response	User can enter the response received from customer. If the response is received online, the response is auto populated in this field by the system	
Customer Remarks	Remarks from the customer for the draft	
Response Date	Customer Response received date.	

Field	Description	Sample Values
Customer Email ID 1	Default email address of the customer. System fetches the Email ID from Customer Address maintenance in Back office and auto populates the available Email ID.	
Customer Email ID 2	By default this field is blank. User can search and select the Email ID from lookup from the Customer Email Address field of the customer maintenance in Back Office and replicated in OBTFPM.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.
Overrides	Click to view overrides, if any.
Customer Instruction	Click to view/ input the following <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.

Field	Description
Incoming Message	<p>This button displays the multiple messages (MT767+ up to 7 MT775).</p> <p>Click to allow parsing of MT 767 along with MT775 (up to 7) messages together to create a Guarantee Issuance.</p> <p>In case of MT798, the User can click and view the MT798 message(763,767/768).</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>
View Undertaking	Clicking this button allows the user should to view the undertaking details.
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system and the task may get terminated or moved to Reject Approval Stage.
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others
Hold	The details provided will be registered and status will be on hold.
Cancel	Cancels the details captured in the screen. The task will get deleted.

Field	Description
Save and Close	User will save the information provided and close the details captured. This option will not submit the request.
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment as Sequence B.

Settlement Details

As part of DE, the user can enter the basic settlement details available in the Guarantee advise amendment. In case the request is received through online channel i will verify the details populated.

The screenshot displays the Oracle Settlement Details form. At the top, there's a navigation bar with 'ORACLE' and user information. Below that, a breadcrumb trail shows 'Guarantee Issuance Amendment Islamic' and 'DataEnrichment :: Application No:- 032IGTM000167861'. The main content area is titled 'Settlement Details' and includes a 'Current Event' checkbox. A table lists settlement components with columns for Component, Currency, Debit/Credit, Account, Account Description, Account Currency, Netting Indicator, and Current Event. Below the table, there are sections for 'AGLIR_COMM_LIQD - Party Details' (including Transfer Type, Charge Details, Netting Indicator, Ordering Customer, and various correspondent/beneficiary fields) and 'Payment Details' (including Sender To Receiver 1-6 and Remittance Information).

Provide the settlement details based on the description in the following

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	

Field	Description	Sample Values
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System displays the applicable netting indicator.	
Current Event	System displays the current event.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: <ul style="list-style-type: none"> • Customer Transfer • Bank Transfer for own account • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer 	
Charge Details	Select the charge details for the transactions: <ul style="list-style-type: none"> • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges 	
Netting Indicator	Select the netting indicator for the component: <ul style="list-style-type: none"> • Yes • No 	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	

Field	Description	Sample Values
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	
Receiver	Click Search to search and select the receiver.	

Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	
Sender to Receiver 4	Provide the sender to receiver message.	
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.

Field	Description
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>
Overrides	<p>Click to view overrides, if any.</p>
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	<p>This button displays the multiple messages (MT767+ up to 7 MT775).</p> <p>Click to allow parsing of MT 767 along with MT775 (up to 7) messages together to create a Guarantee Issuance.</p> <p>In case of MT798, the User can click and view the MT798 message(763,767/768).</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>
View Undertaking	<p>Clicking this button allows the user should to view the undertaking details.</p>

Field	Description
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system and the task may get terminated or moved to Reject Approval Stage.
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others
Hold	The details provided will be registered and status will be on hold.
Cancel	Cancels the details captured in the screen. The task will get deleted.
Save and Close	<p>User will save the information provided and close the details captured.</p> <p>This option will not submit the request.</p>
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment as Sequence B.

Summary

User can review the summary of details updated in Data Enrichment Islamic Guarantee Issuance amendment request.

Log in to Oracle Banking Trade Finance Process Management (OBTFFM) system to see the Summary tiles. The tiles must display a list of important fields with values. User can drill down from Summary Tiles into respective data segments.

The screenshot displays the Oracle Banking Trade Finance Process Management (OBTFFM) system interface. The top navigation bar includes the Oracle logo, user information (ENTITY_ID1, ENTITY_ID2), and the application name (Oracle Banking Trade Finan... Aug 3, 2023). The main header shows the application details: Guarantee Issuance Amendment Islamic, DataEnrichment No.: 032IGTM000167861. The interface is divided into several sections:

- Summary:** A grid of tiles providing an overview of the application.
 - Main:** SBLC/Guarantee Type, Submission Mode (Desk), Date of Issue (2023-08-03).
 - Sequence B (Continued):** Collection by (BENE), Delivery of Original Amendment (COUR).
 - Sequence C:** Collection by, Delivery of Original Amendment.
 - Acknowledgement Details:** Account Identification, Ack. date (2023-08-03), Amount, Currency.
 - Additional Fields:** Click here to view Additional fields.
 - Amendment Snapshot:** Click here to see amended details.
 - Advices:** Advice 1, Advice 2.
 - Limits and Collaterals:** Contribution Currency, Amount to Earmark (null), Limit Status (Not Verified), Collateral Currency, Collateral Contr., Collateral Status (Not Verified), Deposit Linkage CCY, Deposit Linkage Amount.
 - Commission, Charges and Taxes:** Charge, Commission, Tax, Block Status (Not Initiated).
 - Preview Message:** Language (ENG), Preview Message (-).
 - Settlement Details:** Component, Account Number, Currency.
 - Parties Details:** Applicant (Air Arabia), Beneficiary (Emaar Proper...).
 - Accounting Details:** Event (CLIQ), Account Number (0322040001), Branch (032).

The bottom of the screen features a navigation bar with buttons: Audit, Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, Next, Submit.

Tiles Displayed in Summary

- Main - User can view the application details and Guarantee/Standby details. User can modify the details if required.
- Sequence B - User can view the details of the sequence B message if any.
- Sequence C - User can view the details of the sequence B message if any.
- Acknowledgement Details - User can view the acknowledgement details.
- Additional Fields - User can view the details of additional fields, if any.
-
- Amendment Snapshot - User can view the comprehensive fields amended with the previous value and new amended value.
- Advices - User can view the advices details.
- Limits and Collaterals - User can view the limits and collateral details. User can modify the details if required.
- Commission, Charges and Taxes - User can view the commission, charges and taxes details. User can modify the details if required. Revolving Details - User can view revolving details on revolving LC, if applicable.
- Preview Messages - User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- Settlement Details - User can view the settlement details
- Parties Details - User can view the party details like beneficiary, advising bank etc. User can modify the details if required.
- Accounting Details - User can view the accounting entries generated by back office system.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.
Overrides	Click to view overrides, if any.
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none">• Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.• Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.

Field	Description
Incoming Message	<p>This button displays the multiple messages (MT767+ up to 7 MT775).</p> <p>Click to allow parsing of MT 767 along with MT775 (up to 7) messages together to create a Guarantee Issuance.</p> <p>In case of MT798, the User can click and view the MT798 message(763,767/768).</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>
View Undertaking	Clicking this button allows the user should to view the undertaking details.
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system and the task may get terminated or moved to Reject Approval Stage.
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others
Hold	The details provided will be registered and status will be on hold.
Cancel	Cancels the details captured in the screen. The task will get deleted.

Field	Description
Save and Close	User will save the information provided and close the details captured. This option will not submit the request.
Submit	Task will get moved to next logical stage of Guarantee Issuance. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.

Legal Verification

The Legal verification stage allows the user to review and approved the guarantee message received under a Guarantee Amendment.

Legal Verification may be required for Guarantee amendment based on guarantee text format (standard vs non-standard) and other factors. In case Legal verification is required, this task is created in the system.

As a legal approver, log in to Oracle Banking Trade Finance Process Management (OBTFFPM) system to see the legal verification required tasks for Trade Finance transactions listed in queue. On opening the task, the user views the guarantee text that has to be verified.

Application Details

All fields displayed under Application details section, would be read only.

Provide the Application Details based on the description in the following table:

Field	Description
Application Details	
Received From Applicant	Read only field.
Received From - Customer ID	Read only field.
Customer Name	Read only field.
Branch	Read only field.
Currency Code	Read only field.
Amount	Read only field.
Priority	Read only field.
Submission Mode	Read only field.

Field	Description
Process Reference Number	Read only field.
Application Date	Read only field.
Customer Reference Number	Read only field.
Draft Message	
All fields displayed under Draft Message section, would be read only.	
Language	Select the language for the SWIFT message.
Draft Message	Read only field.
Legal Verification	
Legal Verification required	Read only field.
Legal Verification Outcome	The user can update any of the below response based on vetting the guarantee text <ul style="list-style-type: none"> ● Accepted ● Not accepted - Change and Send for Review Again ● Not accepted - Change and Proceed ● Pending
Legal Remarks	The user can enter the observation/changes/remarks to be done to the guarantee text.
Verification Date	The user can enter the date on which the verification was done. The system date will be defaulted and the user can change the same.

Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/Standby details. User can modify the details if required.
- Party Details - User can view the application details and Guarantee/Standby details. User can modify the details if required.
- Guarantee Details - User can view the Counter Guarantee details and Guarantee text. User can modify the details if required.
- Limits and Collaterals - User can view the limits and collateral details. User can modify the details if required.
- Charges - User can view the charge details. User can modify the details if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction checks.
- Draft Confirmation – User can view the draft guarantee details, legal verification and customer confirmation details. User can modify the details if required.
- Documents - User can view the document details
- Remarks - As a Reject approval user, you will be able to view the remarks captured in the process during earlier stages. User also can see the Reject code with reason for rejection in the Remarks column

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Submit	<p>On submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee/SBLC Amendment.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. The user would be able to select a Reject code and give a Reject Description <p>Other users should be able to see the reject reason in remarks window throughout the process.</p>
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes.</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others.
Cancel	Cancel the Scrutiny Stage Inputs.
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>
Back	On click Back , user navigates to previous step.

Customer Response - Draft Confirmation

In this section, the user can review and handle the customer's response received for the draft confirmation for Guarantee Amendment transactions, which is sent to the customer for their verification and confirmation. The system sends Draft MT767 along with up to seven MT775 messages as attachment to the customer.

The customer response can be received both by online and offline mode.

In non-online mode, user receives the response in the branch. Log in into OBTFPM application, and open the task to see customer response pending tasks for trade transactions listed in queue. On opening the task, the user views and update the customer response.

In online mode, the customer would receive a mail notification from the bank with a draft of the Guarantee Amendment. The customer can 'Approve' or 'Reject' post reviewing the draft through mail. The customer response will automatically be updated in OBTFPM. Based on the customer response; the task will move to the next stage or are referred to the previous stage for further update.

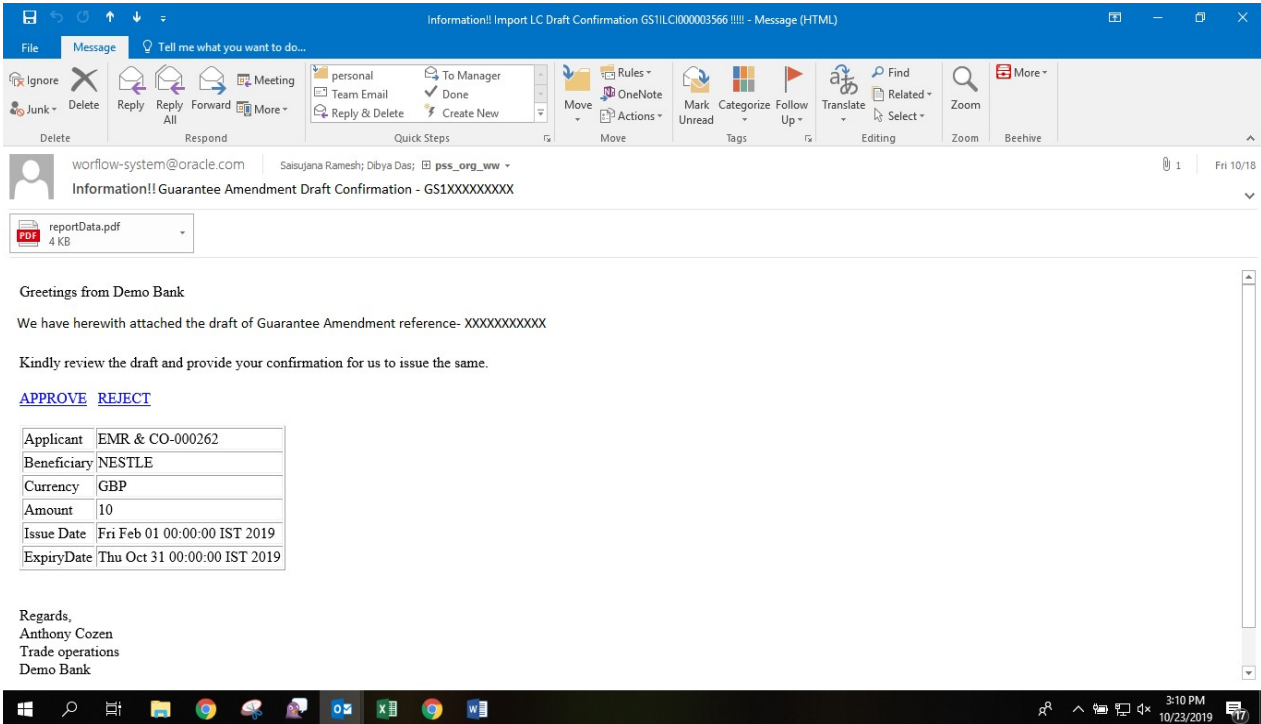
The draft mail will be addressed to a primary contact and a secondary contact. On approval or reject, an acknowledgement will be sent to the primary and secondary contacts confirming receipt of response. As the draft mail is sent to more than one recipients, system to follow the below guidelines to register the customer response.

- Approved from Primary Mail, Rejected from Secondary Mail - Application already Approved from Primary mail
- Approved from Primary Mail, Approved from Secondary Mail - Application already Approved from Primary mail
- Rejected from Primary Mail, Approved from Secondary Mail - Application already Rejected from Primary mail
- Rejected from Primary Mail, Rejected from Secondary Mail – Application already Rejected from Primary mail
- Approved from Secondary Mail, Approved from Primary Mail - Application already Approved from Secondary mail
- Approved from Secondary Mail, Rejected from Primary Mail - Application already Approved from Secondary mail

Rejected from Secondary Mail, Approved from Primary Mail - Application already Rejected from Secondary mail

Rejected from Secondary Mail, Rejected from Primary Mail - Application already Rejected from Secondary mail

Draft mail to customer



Response from Customer

From: Saisujana Ramesh
Sent: Thursday, October 17, 2019 3:41 PM
To: Saisujana Ramesh <saisujana.ramesh@oracle.com>
Subject: Action Required: Guarantee Amendment Draft Confirmation

Dear Bank,

Add comments between the brackets in Remarks section. Do not delete any of the content in the mail.

You can also add attachments to the task by attaching them to this email.

Customer Response: [Approved]

Remarks: [approved this task]

-----Do not edit below this line-----

Application Number: [GS1ILCI000003469]
Token: [9c0e60ab635b62ce9f7ac6cca5ad0056f0bb1aadd6337e88cb231d8df57d4f36]

Application Details

All fields displayed under Application details section, would be read only.

Provide the Application Details based on the description in the following table:

Field	Description
Application Details	
Received From Applicant	Read only field.
Received From - Customer ID	Read only field.
Customer Name	Read only field.
Branch	Read only field.
Currency Code	Read only field.
Amount	Read only field.
Priority	Read only field.
Submission Mode	Read only field.
Process Reference Number	Read only field.
Application Date	Read only field.
Customer Reference Number	Read only field.

Customer Response

All fields displayed under Customer Response section, would be read only.

Field	Description	Sample Values
Language	Select the language for the SWIFT message.	
Draft Message	Read only field.	

Draft Confirmation

All fields displayed under Draft Confirmation section, would be read only.

Field	Description	Sample Values
Draft Verification required	Read only field.	

Customer Response - This field will be available for you to update any of the below response based on the customer's reply

- Accepted

- Rejected

For non-online response – User can select customer response from one of the three drop list values mentioned above.

For Online response – Read only

Customer Remarks - Capture the remarks of the customer.

Response Date - Non-Online channel – Update the date on which the customer response has been received. Online Channel – Read only

Tiles Displayed in Summary

- Main Details - Read Only. User can view the application details and Guarantee/Standby details. User can modify the details if required.
- Party Details - Read Only. User can view the application details and Guarantee/Standby details. User can modify the details if required
- Sequence B: User can view the details of the Sequence B message if available
- Sequence C – User can view the details of Sequence C message if available
- Amendment Details - Read Only. User can view the amended field details
- Limits and Collaterals - Read Only. User can view the limits and collateral details. User can modify the details if required.
- Charges - Read Only. User can view the charge details. User can modify the details if required.
- Preview Messages - User can view the preview details. User can modify the details if required.
- Settlement Details - User can view the settlement details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Submit	<p>On submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee/SBLC Amendment.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>

Field	Description
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. The user would be able to select a Reject code and give a Reject Description <p>Other users should be able to see the reject reason in remarks window throughout the process.</p>
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes.</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.

Exceptions

The Guarantee Issuance Amendment Islamic request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

Amount Block Exception Approval

User can review the amount block exception for Trade Finance requests that failed to create Amount Block in backend system.

Log in into Trade Mid Office (TMO) system amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue.

Open the task to view the summary tiles. The tiles should display a list of important fields with values.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number "to the back office. On successful handoff, back office will make use of these "Amount Block

Reference Number” to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block.

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

- Approve:
 - Settlement amount will be funded (outside of this process)
 - Allow account to be overdrawn during hand-off Refer:
- Refer
 - Refer back to DE providing alternate settlement account to be used for block.
 - Different collateral to be mapped or utilize lines in place of collateral.
- Reject: Reject the transaction due to non-availability of sufficient balance in settlement account
Amount Block Exception This section will display the amount block exception details

Tiles Displayed in Summary

- Main - User can view the application details and Guarantee/Standby details. User can modify the details if required.
- Sequence B(Continued) - User can view the details of the sequence B message if any.
- Sequence C - User can view the details of the sequence C message if any.
- Acknowledgement Details - User can view the acknowledgement details.
- Amendment Snapshot - User can view the comprehensive fields amended with the previous value and new amended value.
- Advices - User can view the advices details.
- Limits and Collaterals - User can view the limits and collateral details. User can modify the details if required.
- Commission, Charges and Taxes - User can view the commission, charges and taxes details. User can modify the details if required.
- Preview Messages - User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- e:
 - Settlement amount will be funded (outside of this process)Allow account to be overdrawn during hand-off

Amount Block Details

All the data elements shown in the tables below will go in as read-only information to the Amount Block exception System

Provide the Amount Block Details based on the description in the following table:

Limits Details

Provide the collateral details based on the description provided in the following table:

Field	Description	Sample Values
Customer ID	Unique Customer Identification Number of the customer for whom the credit exception approval is required.	

Field	Description	Sample Values
Line ID	Line ID under which the limit check was originally performed.	
Contribution%	Percentage of the transaction amount that need to be earmarked.	
Contribution Currency	Currency of the transaction.	
Contribution Amount	User can enter the contribution amount to be utilized under the selected limit.	
Limit Check Response	Response received from backend system. Response can be Limit Earmark Created or Limits not available.	

Field	Description	Sample Values
Collateral Type	This can be either Cash Collateral or Deposits.	
Collateral %	Percentage of the transaction amount that needs to be marked against a collateral.	
Currency	Currency of transaction.	
Amount	Amount that will be marked as collateral.	
Settlement Account	Amount that will be marked as collateral	

Charge Details

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Type	The type for which the amount block is required eg: charges, cash collateral, deposit etc.	
Contact Currency	The currency of contact.	
Block Amount	The amount for which the block is required.	
Branch	The branch under which the amount block has to be made.	
Account	Settlement account to be used.	
Account Currency	Currency in which the settlement account is maintained.	
Available Balance	The outstanding balance in the account when the amount block was placed in contract currency.	
Block Reference Number	Unique Amount Block reference.	
Block Status	The status of amount block – Success or failure	

Field	Description	Sample Values
Block Status Detail	Failed Reason (This field will have value only if amount block is failed).	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the Amount Block Exception Inputs.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	

Multi Level Authorization

The Approval user can approve a Guarantee Amendment Transaction.

As an approver user, log in into OBTFPM application and open the task to see the summary tiles.

The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to validate the details of all fields under the data segment.



The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

In case of MT798, on approval the task is handed off to back office system to amend the Guarantee contract and generate the required MT767/768 messages. Re-Key Authorization



The application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message and user will not be able to approve the task.


Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:


- Currency
- Amount

Re-key is applicable only in case of to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

Approval Rekey ✕

 Documents  Remarks

Currency
 

Amount
 

In Approval the user can view a snapshot of the amendment made to this transaction.

Click Next to view the Summary

Tiles Displayed in Summary:

- Main - User can view the application details and Guarantee/Standby details. User can modify the details if required.
- Sequence B - User can view the details of the sequence B message if any
- Sequence C - User can view the details of the sequence B message if any
- Acknowledgement Details - User can view the acknowledgement details.
- Additional Fields - User can view the details of additional fields, if any.
- Amendment Snapshot - User can view the comprehensive fields amended with the previous value and new amended value.
- Advices - User can view the advices details.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Commission, Charges and Taxes - User can view the commission, charges and taxes details. User can modify the details if required.
- Preview Messages - User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details. User should be able to drill down to view the legal verification response and confirmation details.
- Accounting Details - User can view the accounting entries generated by back office system.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

- Exception(Approval) - User can view the exception(approval) details.

Guarantee Issuance Amendment Islamic
Approval Task Level 1 :: Application No:- PK2IGTM000071629

Documents Remarks Overrides Customer Instruction Incoming Message View Undertaking

Main	Sequence B (Continued)	Sequence C	Acknowledgement Details	Additional Fields
SBLC/Guarantee Type : Submission Mode : Desk Date of Issue : 2021-05-05	Collection by : Delivery of Original : Amendment	Collection by : Delivery of Original : Amendment	Account Identification : Date of : Acknowledgement Amount : Currency :	Click here to view : Additional fields
Amendment Snapshot	Advices	Limits and Collaterals	Commission,Charges and Taxes	Preview Message
Click here to see : amended details	Advice 1 : Advice 2 :	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : -
Settlement Details	Accounting Details	Exception(Approval)		
Component : Account Number : Currency :	Event : Account Number : Branch :	KYC : EXCEPTION PLEASE VISIT : - REMARKS FOR MORE DETAILS		

Audit Reject Hold Refer Cancel Approve

A		O	
Action Buttons	8, 12, 15, 19, 23, 25, 28, 40, 44, 47, 51, 54	Overview	1
Additional Details		P	
Charge Details	35	Preview – SWIFT and Advise	38
Limits & Collateral	31	Preview Message	
Amendment of Guarantee/ SBLC Issued		Action Buttons	40
Amendment of Guarantee/ SBLC Issued	45	R	
Legal Verification	45	Re-Key Authorization	55
Sequence B	13	Response from Customer	49
Customer Draft Confirmation	48	Response from Customer Application Details	49
Summary	43	S	
Amount Block Details	53	SBLC/ Guarantee Details	11
Amount Block Exception Approval	52		
Application Details	11, 45, 50, 53		
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Benefits	1		
C			
Charge Details	54		
Customer Response	50		
D			
Draft Confirmation	50		
Draft Confirmation Required	39		
Draft mail to customer	49		
G			
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Demand Indicator	27		
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Key Features	1		
L			
Legal Verification Required	38		
Limits Details	53		
M			
Main Details	11		
Application Details	11		
Guarantee Details	11		
Multi Level Authorization	55		

References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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